

I. Investor ID, Trading Account, Cash Settlement Account

- **Investor ID Number:** Individual and institutional investors are required to have an Investor ID Number (“IIDN”) which is issued by Securities and Exchange Regulator of Cambodia (“SERC”). All investors can request and fulfill an IIDN application form with Cambodia Securities Plc. (“CS”) or through SERC’s online system “www.id.serc.gov.kh” directly. The validity of IIDN is 10 (ten) years from the date of issue of IIDN and it might take at least 2 or 3 working days to obtain the IIDN from SERC.

Apply for Investor ID with CS

- Original National ID Card/Passport for foreigner
- 1 photo (4*6) (current 6 months, no glasses)
- Bank account information
- Investor ID Application fee:
KHR20,000 (\$5) for individual investor
KHR50,000 (\$13) for institutional investor

OR

Apply for Investor ID online through:

www.id.serc.gov.kh

- Scanned National ID Card/Passport for foreigner
- 1 photo (4*6) (current 6 months, no glasses)
- Bank account information
- Investor ID Application fee:
KHR20,000 (\$5) for individual investor
KHR50,000 (\$13) for institutional investor

- **Trading Account:** No fee charge for securities account opening and services.

Requirement Documents (For individual investor)

- Original National ID Card/Passport for foreigner (at least 3 months validity)
- 1 photo (4*6) (current 6 months, no glasses)
- Bank account information
- Investor ID number issued by SERC

OR

Requirement Documents (For Institutional investor)

- Original National ID Card/Passport for foreigner of Authorized Person (“AP”) (at least 3 months validity)
- 1 Photo of AP (current 6 months, no glasses)
- Certified Certificate of Incorporation
- Certified Business License (if any)
- Certified Certificate of Tax Registration
- Certified Articles of Incorporation
- Power of Attorney and BOD/shareholder resolution
- Bank account information
- Investor ID number issued by SERC

▪ Cash Settlement Account Opening

Funds deposit for securities trading and settlement is required to process through Cash Settlement Agent accredited by SERC and designated by CS. CS's cash settlement agent is ACLEDA Bank Plc. ("CSA"), and we recommend CS's clients to open a Personal Account in Khmer Riel currency with our CSA. If you do not wish to open it, you can make a cash deposit to CS's Collection Account in ACLEDA bank directly.

Requirement for Domestic Customer

- Cambodia Identification Card, or
- Passport which is valid for at least 3 months, or
- Family book (for family leader), or
- Family book (for family member), or residential book and also attach other documents with portraits such as Employment ID, driving license, or student ID card, etc.

Requirement for Foreigner Customer

- Having a passport which is valid for at least 3 months
- Having a residential document in Cambodia certified by local authority, certification, or mission having a phone, fax, or E-mail address



Contact Person at ACLEDA Bank Plc. Head Office

- Name: Mr. Nhem Vutha
- Position: Corporate Banking Specialist
- Tell: 015 700 555
- E-mail: nhem.vutha@acledabank.com.kh



II. Deposit – Fund Transfer Methods

Prepare funds deposit into the client's Trading Account before placing orders.

Deposit cash at ACLEDA Bank's counter or Transfer cash from ACLEDA bank's account to ACLEDA Bank's account at counter

- Account Name: **CAMBODIA SECURITIES PLC. - (Client Money Account)**
- Bank account No.: 00010997888899
- Bank Name: ACLEDA Bank Plc.
- Provide details: **Name, Investor ID No., and Trading Account No**
- Swift code: **ACLBKHPP**
- Purpose: Fund transfer for securities trading.

Domestic inter-bank transfer cash to client's Trading Account

- Account Name: **CAMBODIA SECURITIES PLC. - (Collection Account)**
- Bank account No.: 00010997888889
- Bank Name: ACLEDA Bank Plc.
- Provide details: **Name, Investor ID No., and Trading Account No**
- Swift code: **ACLBKHPP**
- Purpose: Fund transfer for securities trading.

Oversea Transfer

- Account Name: **CAMBODIA SECURITIES PLC. - (Client Money Account)**
- Bank account No.: 00010997888899
- Bank Name: ACLEDA Bank Plc.
- Provide details: **Name, Investor ID No., and Trading Account No**
- Swift code: **ACLBKHPP**
- Purpose: Fund transfer for securities trading.

Note: The cash/fund will automatically update to the client's Trading Account in CSX Trade App.



Cash in Trading Account



Placing orders



17th Floor, Cheng Fung Tower,
Phnom Penh, Cambodia.



www.cambodiasecurities.com



+855 23 997 888

III. Order methods and CSX Trade App

How to place order?

Visit CS's office:

At **8:00 ~ 15:00*** from Monday to Friday (except weekend and public holidays)

Phone: **+855 23 997 888**

Email: brokerage@cambodiasecurities.com.kh

**This is CSX's opening and closing trading hours. Investor cannot place any order after market closed.)*

Required information to be provided to CS:

- Investor Name:
- Trading Account No.:
- Type of Order: **Buy, Sell, Change** or **Cancel**
- Stock Name: **PPWSA, GTI, PPAP, PPSP, or PAS....**
- Bond Name: **ABA, ...**
- Quantity of share: **1 share or 1 unit ~ more**
- Price per share: **KHR**



CSX Trade App

Log in to CSX Trade App*

*Launched on 22 February 2022

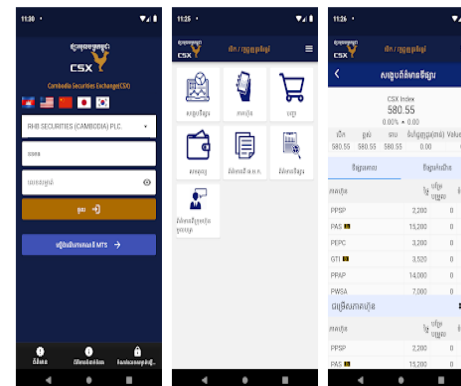


CSX Trade

Cambodia Securities Exchange Finance



 Add to Wishlist



Benefits:

- Investor can conveniently and securely trade stocks listed at the CSX. CSX trade us equipped with various functions allowing users anywhere to place orders, view current and historical data.
- Investor can quickly place, correct, and cancel orders
- View Trading data and investment related news
- Check your transaction history, cash and securities balance.



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IV. How to withdraw money?

❖ Withdrawal in Cash

- Visit CS's office during working hours
- Complete and sign on "Fund Withdrawal Request Form", CS will issue an "Instruction Letter" to client.
- Bring your original nation ID/passport to ACLEDA Bank Plc. – Cash Settlement Unit (Head Office 5th Floor only) for cash out.

❖ Domestic Transfer through Inter-Bank (KHR/USD)

- Request "Local Cash Withdraw" in CSX Trade App, or
- Visit CS's office during working hours
- Complete and sign on "Fund Withdrawal Request Form"
- CS will process fund transfer to client's bank account
- Upon completion, CS will notify to client by email or phone call

❖ Oversea fund transfer

- Request an "International Transfer" in CSX Trade App, or
- Visit CS's office during working hours
- Complete and sign on "Fund Withdrawal Form"
- CS will process fund transfer to client's bank account at oversea.
- Upon completion, CS will notify to client by email or phone call

V. Reporting

❖ CS will provide client's trading statement through the client's registered e-mail as the following:

- Monthly transaction report;
- Semi-annual transaction report; and
- Other report as required by clients.

